R.I. Local Bankr. Form C LBR 1007-1(a)

FOR THE DISTRICT OF RHO	DE ISLAND	
In re:	:	
Debtor(s)	Chapter :	X No.
	TIFICATION BY PRO SE D	<u>EBTOR</u>
On, a volunta pro se and without legal counse	ry bankruptcy petition was file l. Certification is hereby made	d by the undersigned, appearing that:
CHECK EITHER ITEM 1 OF AND ADDRESS OF ASSISTA		LECTED, PROVIDE NAME
(1) No persons a typing, and/or completion of said		lf/us, assisted in the preparation, ules;
	ng, and/or completion of said p	tute the only persons/entities who etition and all related schedules,
NAME AND ADDRESS OF A	SSISTANCE PROVIDER	TOTAL AMOUNT PAID
	m aware that the providing of	formation is true and accurate to false or incomplete information other sanctions.
DATE	SIGNATU	JRE
	Phone nur	mber ( )

R.I. Bankr. Form D See R. I. LBR 1006-1(e)

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF RHODE ISLAND		
In re:	· x : BK No.	
mie.	: Chapter	
	. Chapter	
	•	
	· - X	
	OR FEE WAIVER APPLICATION	<u>)N</u>
This section must be completed if you have	not filed Schedule J .	
CURRENT EXPENDITURES OF INDIVI	DUAL DEBTOR(S)	
Complete this document by estimating the average debtor's family. Pro rate any payments made is show monthly rate.		
1. Rent or home mortgage payment (include lo a. Are real estate taxes included? Yes	No	\$
b. Is property insurance included? Yes	No	
2. Utilities:		Ф
a. Electricity and heating fuel		\$
b. Water and sewer		\$
c. Telephone		\$
d. Other		\$
3. Home maintenance (repair and upkeep)		\$
4. Food		\$
5. Clothing		\$
6. Laundry and dry cleaning		\$
7. Medical and dental expenses		\$
8. Transportation (not including car payments	)	\$
9. Recreation, clubs and entertainment. newsp		\$
10.Charitable contributions		\$
11.Insurance (not deducted from wages or inc	luded in home mortgage payments)	
a. Homeowner's or renter's		\$
b. Life		\$
c. Health		\$
d. Auto		\$
e. Other		
12.Taxes (not deducted from wages or include	ed in home mortgage payments)	

(Specify)

13. Installment payments:	
a. Auto	\$
b. Other	\$
c. Other	\$
14. Alimony, maintenance, and support paid to others	\$
15. Payments for support of additional dependents not living at	
16. Regular expenses from operation of business, profession, or (attach detailed statement)	farm \$
(attach detailed statement)	
17. Other	\$
18. TOTAL MONTHLY EXPENSES	\$
19. Describe any increase or decrease in expenditures reasonably year following the filing of this document:	
DECLARATION CONCERNING DEBTOR'S EX DECLARATION UNDER PENALTY OF PERJURY BY II  I (we) declare under penalty of perjury that I (we) cannot filing fee in full or in installments and that the foregoing inform further declare under penalty of perjury that I have read the forethat it is true and correct to the best of my knowledge, information	ndividual debtor  t currently afford to pay the ation is true and correct. I (we) going expense information and
Date Signature	
Data	Debtor
Date Signature	Debtor (Joint Debtor, if any)
DECLARATION AND SIGNATURE OF BANKRUPTCY 11 U.S.C. § 110)	PETITION PREPARER (See
I declare under penalty of perjury that: (1) I am a bankruptcy U.S.C. § 110; (2) I prepared this document for compensation and copy of this document and the notices and information required u and 342(b); and (2) if rules or guidelines have been promulgate setting a maximum fee for services chargeable by bankruptcy p debtor notice of the maximum amount before preparing any daccepting any fee from the debtor, as required under that section	Ind have provided the debtor with a sinder 11 U.S.C. §§ 110(b), 110(h), and pursuant to 11 U.S.C. § 110(h) etition preparers, I have given the ocument for filing for a debtor or
Printed or Typed Name and Title, if any, of Bankruptcy Petition Preparer	Last four digits of Social Security No. Required by 11 U.S.C. § 110.

If the bankruptcy petition preparer is not an individual social security number of the officer, principal. res	• • • • • • • • • • • • • • • • • • • •
document.	
Address	
X	
Signature of Bankruptcy Petition Preparer	Date

Names and Social Security numbers of all other individuals who prepared or assisted in preparing this document, unless the bankruptcy petition preparer is not an individual:

If more than one person prepared this document, attach additional signed sheets conforming to the appropriate Official Form for each person. A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both. 11 U.S.C. § 110; 18 U.S.C. § 156.

R.I. Bankr. Form G See R.I. LBR 4002-3(a)

FOR THE DISTRICT OF RHODE ISLAND	
In re:	
:	BK No.
:	Chapter
(Debtors)	•
X	
REQUEST FOR DEBTOR TO FILE PO	
<u>DURING PENDI</u>	ENCY OF CASE
, a party in interest	in the above individual chapter 7, 11 or 13 case,
qualifies as such for the following reasons:	
Accordingly, pursuant to 11 U.S.C. § 521(f)(1-4),	hereby requests
that the Debtor file the following post petition tax	x information with the Court:
	<u>.</u>
I hereby declare under penalty of perjury	that the foregoing is true and correct.
Dated:	(Signature)
<u></u>	Name of Movant/Attorney for Movant
	Address
	Phone Number
	For:
CERTIFICATE	C OF SERVICE
I hereby certify that on I represent the Request for Debtor to file Post Petit following non CM/ECF participants:	

R.I. Bankr. Form H See R.I. LBR 4002-3(b)

UNITED STATES BANKRUPTCY C	JOURI	
FOR THE DISTRICT OF RHODE ISI	LAND	
	X	
In re:		
	BK No.	
	: Chapter	
(Debtors)	-	
	x	
MOTION RV PA	ARTY IN INTEREST FOR ACCESS	
·	OR'S TAX INFORMATION	
is a party in	interest in the above entitled case, and qu	alifies as such fo
the following reasons:	Tì	ne tax informatio
	from any other source, and is necessary	
_		
reasons:		
Accordingly, pursuant to 11 U	J.S.C. § 521(g)(2),	hereb
requests access to Debtor's tax informa	ation on file with the Clerk, for the year(s)	):
Access is requested in the following m	•	
-		
Regular Mail at the following address.	:	·
In Person (Tel.)		
	of parium, that the foregoing is true and	correct.
I hereby declare under penalty	of perjury that the folegoing is true and of	
I hereby declare under penalty	or perjury that the foregoing is true and	
I hereby declare under penalty		
I hereby declare under penalty	Signature	
I hereby declare under penalty		<u> </u>
I hereby declare under penalty	Signature Movant's/Attorney's Name	<u> </u>
I hereby declare under penalty  Dated:	Signature Movant's/Attorney's Name Address	2
Dated:	Signature Movant's/Attorney's Name Address Phone Number	2

In re:    : BK No.     Chapter     Debtor(s)   :     ATTORNEY CERTIFICATION IN SUPPORT     MOTION FOR ADMISSION PRO HAC VICE     1. I,	E nat I am a member in good I of the following federal
ATTORNEY CERTIFICATION IN SUPPORT MOTION FOR ADMISSION PRO HAC VICE  1. I,	E nat I am a member in good I of the following federal
ATTORNEY CERTIFICATION IN SUPPORT MOTION FOR ADMISSION PRO HAC VICE  1. I,	E nat I am a member in good I of the following federal
MOTION FOR ADMISSION PRO HAC VICE  1. I,	E nat I am a member in good I of the following federal
1. I,	nat I am a member in good
district court bar(s)	
eligibility to practice, and that I understand my obligation to notify this Couchange respecting my status in this respect.  2. I have never been disciplined or sanctions by any court or other beauthority over attorneys; there are no disciplinary proceedings pending again have never had my pro hac vice status revoked by any court. (If applicant have never had my pro hac vice status revoked by any court.)	
change respecting my status in this respect.  2. I have never been disciplined or sanctions by any court or other b authority over attorneys; there are no disciplinary proceedings pending again have never had my pro hac vice status revoked by any court. (If applicant h	ut any restriction on my
2. I have never been disciplined or sanctions by any court or other beauthority over attorneys; there are no disciplinary proceedings pending again have never had my pro hac vice status revoked by any court. (If applicant have never had my pro hac vice status revoked by any court.)	rt immediately of any
authority over attorneys; there are no disciplinary proceedings pending again have never had my pro hac vice status revoked by any court. (If applicant h	
have never had my pro hac vice status revoked by any court. (If applicant h	ody having disciplinary
	nst me at this time; and I
disciplined or had any pro hac vice status revoked, please provide a full exp	as been sanctioned,
	lanation.)
3. I have never been convicted of any crime other than minor traffic	offenses. (If applicant has
been convicted, please provide full explanation.)	
4. I am currently admitted, and/or within the preceding 12 months h	ave applied to be admitted, in
the following bankruptcy and/or adversary proceeding cases in this district:	

5. I	have read, acknowledge, and a	gree to obse	rve and to be bound by the local rules
and orders of	of this Court, including the Rul	es of Profes	sional Conduct of the Rhode Island
Supreme Co	ourt, as adopted by this Court a	s the standa	rd of conduct for all attorneys
appearing b	efore it.		
6. Fe	or purposes of this case:		
	I have associated with local a	associate co	unsel identified below, and have
	read, acknowledge, and will observe the requirements of this Court respecting		requirements of this Court respecting
	the participation of local associate counsel, as set out in LBR 9010-1 and		
	Local Rule 204 of the U.S. District Court, recognizing that failure to do so may		
	result in my being disqualified, either upon the Court's motion or motion of		
	other parties in the case.		
		- OR	-
	Pursuant to LBR 9010-1(c),	local counse	el is not required at this time as the
	matter is not contested. Counsel further understands and agrees that if the		
	matter becomes contested, then local counsel will enter an appearance at least		
	five days before the scheduled hearing or the granting of within motion for		
	permission to appear pro had	<i>vice</i> may b	e revoked.
Date:	By		
Dutc.	2).		oplying for Pro Hac Vice admission
		Firm name	and address
		Tel#	Fax #

### **LOCAL COUNSEL CERTIFICATION**

I certify that I have read and join in the foregoing motion, and acknowledge and agree
to observe the requirements of LBR 9010-1 and Local Rule 204 of the U.S. District Court in
their entirety and as they relate to the participation and responsibilities of local associate
counsel.

Name and Signature of Local Associate Counsel R.I. Bar ID# Firm Name Tel # Fax # Business Address

UNITED STATES BANKRU	PTCY COURT	
FOR THE DISTRICT OF RI	HODE ISLAND	
	· X	
In re:	:	
		BK No.
	:	Chapter 13
<b>Debtor</b> (s)		
	X	

### CHAPTER 13 AGREEMENT BETWEEN DEBTOR AND COUNSEL

### RIGHTS AND RESPONSIBILITIES OF CHAPTER 13 DEBTORS AND THEIR ATTORNEYS

It is important for debtors who file bankruptcy cases under Chapter 13 to understand their rights and responsibilities. It is also useful for debtors to know what their attorney's responsibilities are, and understand the importance of communicating with their attorney to make the case successful. Debtors should also know that they may expect certain services to be performed by their attorney. To encourage that debtors and their attorneys understand their rights and responsibilities in the bankruptcy process, the following terms are agreed to by the debtors and their attorneys:

### **BEFORE THE CASE IS FILED:**

### The DEBTOR agrees to:

- 1. Provide the attorney with accurate financial information.
- 2. Discuss with the attorney the debtors' objectives in filing the case.

### The ATTORNEY agrees to:

- 1. Meet with the debtor to review the debtor's debts, assets, income and expenses.
- 2. Counsel the debtor regarding the advisability of filing either a Chapter 7 or Chapter 13 case, discuss both procedures with the debtor, and answer the debtor's questions.
- 3. Explain what payments will be made through the plan, and what payments will be made directly by the debtor for mortgage and vehicle loan payments, as well as which claims accrue interest.
- 4. Explain to the debtor how, when, and where to make the Chapter 13 plan payments, as well as the debtor's obligation to continue making mortgage payments, without interruption, and the likely consequences for failure to do so.
- 5. Explain to the debtor how the attorney's fees and trustee's fees are paid, and provide an executed copy of this document to the debtor.
- 6. Explain to the debtor that the first plan payment must be made to the Trustee within 30 days of the date the plan is filed.
- 7. Explain to the debtor that if he/she owe domestic support obligations, they must be current on these obligations to obtain plan confirmation and they must remain current to obtain a discharge.

In re: BK No	
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- 8. Advise the Debtor of the requirement to provide the Chapter 13 Trustee with copies of their federal tax return (or a transcript) for the most recent tax year at least 7 days before the Section 341 Meeting of Creditors.
- 9. Advise the debtor of the requirement to attend the 341 Meeting of Creditors, <u>and instruct debtor as</u> to the date, time and place of the meeting.
- 10. Advise the debtor of the necessity of maintaining liability, collision and comprehensive insurance on vehicles securing loans or leases.
- 11. Advise the Debtor that in order to obtain a discharge, he or she must complete a financial management course approved by the United States Trustee and file a certificate (Official Form 23) with the Court evidencing compliance with this requirement.
- 12. Timely prepare and file the debtor's petition, plan, and schedules.

### AFTER THE CASE IS FILED:

### The debtor agrees to:

- 1. Keep the trustee and attorney informed of the debtor's address and telephone number.
- 2. Inform the attorney of any wage garnishments or attachments of assets which occur or continue after the filing of the case.
- 3. Contact the attorney if the debtor loses his/her job or has other financial problems. The attorney may be able to have the Chapter 13 plan payments reduced. or suspended in those circumstances.
- 4. Advise counsel if the debtor is sued during the case.
- 5. Inform the attorney if tax refunds to which the debtor is entitled are seized or not received.
- 6. Advise counsel and the trustee before buying or selling real property or before entering into any long-term loan agreements, to determine what approvals are required.

## The attorney agrees to provide the following legal services in consideration of the initial fee charged in this case:

- 1. Appear at the 341 Meeting of Creditors with the debtor.
- 2. Respond to objections to plan confirmation, and where necessary, prepare an amended plan.
- 3. Prepare, file and serve one necessary modification to the plan which may include suspending, lowering, or increasing plan payments.
- 4. Prepare, file and serve necessary amended schedules in accordance with information provided by the debtor.
- 5. Prepare, file, and serve necessary motions to buy, sell, or refinance real property.
- 6. Object to improper or invalid claims, if necessary, based upon documentation provided by the debtor
- 7. Represent the debtor in motions for relief from stay.
- 8. Where appropriate, prepare, file, and serve necessary motions to avoid liens on real or personal property.

In re:		BK No	
9.	Provide such other legal services as are necess but not limited to assisting the debtor to comp financial management course prior to discharg evidencing such compliance.	y with the requirement that the debtor comple	_
	Initial fees charged in this case are \$ If the initial fees are not sufficient to compensate the attorney for the legal services rendered in the case, the attorney further agrees to apply to the court for any additional fees. If the debtor disputes the legal services provided or the fees charged by the attorney, an objection may be filed with the court and the matter set for hearing.		
	Debtor signature:	Dated:	
	Co-debtor signature:	Dated:	
	Attorney for debtor(s) signature:	Dated:	

## UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF RHODE ISLAND

### **CHAPTER 13 PLAN and COVER SHEET**

Filing Date:	BK No
Debtor:	Co-Debtor:
SS#:	SS#:
Address:	Address:
Debtor's Counsel:	
Address:	-
Telephone #:	
Eggsimila #:	

Attached to this cover sheet is the Chapter 13 Plan filed by the Debtor(s) in this case. This Plan sets out the proposed treatment of the claims of creditors. The claims are set forth in the bankruptcy schedules filed by the Debtor(s) with the Bankruptcy Court.

Notwithstanding the scheduling of your claim by the Debtor(s), in order to participate in the distribution under the plan, you MUST file a proof of claim by the claims bar date contained in the § 341 notice. *See below*.

You will receive a separate notice from the Bankruptcy Court of the scheduled creditors' meeting pursuant to 11 U.S.C. § 341. That notice will also establish the bar date for filing Proofs of Claims, as well as the date scheduled for the hearing on confirmation of the Debtor(s) chapter 13 plan. Pursuant to Local Bankruptcy Rule 3015-3, any objections to confirmation of a chapter 13 plan shall be filed no later than seven (7) days before the hearing date on confirmation.

## **CHAPTER 13 PLAN**

BK No	
Debtor(s) (H):	SS#:
(W):	SS#:
Term of the Plan: Months	
Plan Payment: Debtor(s) to pay monthly: \$	
I. <u>SECURED CLAIMS</u>	
A. CLAIMS TO BE PAID THROUGH THE	PLAN (INCLUDING ARREARS):
Creditor Description of claim (pre-Amount of cla	nim petition arrears, purchase money, etc.)
	\$
	\$
Total of secured claims to be paid through the Pla	
B. CLAIMS TO BE PAID DIRECTLY TO C	REDITORS (Not through Plan):
Creditor Description of claim	
	_
	_

In re:	BK No
II. PRIORITY CLAIMS	
Creditor Description of Claim	Amount of claim
	\$
DOMESTIC SUPPORT OBLIGATIONS	Check here if NONE
Description of Obligation	Amount
	<u> </u>
Total of priority claims to be paid through the plan  III. <u>ADMINISTRATIVE CLAIMS</u>	\$
A. Attorneys fees (to be paid through the Plan): \$_ (to be paid in first 12 months of Plan)	
B. Miscellaneous fees:	
Creditor Description of claim Amount of claim	
	\$
	\$
	\$

In re:	BK No
C. The Chapter 13 Trustee's fee is determine The calculation of the Plan payment set forth	ed by order of the United States Attorney General. below utilizes a 10% trustee's commission.
In the event that the trustee's commission is l Trustee shall be disbursed to unsecured credit	ess than 10%, the additional funds collected by the tors up to 100% of the allowed claims.
IV. <u>UNSECURED CLAIMS</u>	
The general unsecured creditors shall receive	a dividend of% of their claims.
A. General unsecured claims: \$	
B. Undersecured claims arising after lien avoic Creditor Description of claim Amount of claim	
	\$
	\$
	\$
Total of A + B general unsecured claims: \$	
C. Multiply total by percentage of dividend:	\$
(Example: total of \$38,500.00 x .22 dividend	= \$8,470.00)
D. Separately classified unsecured claims (co	o-borrower/student loan, etc.):
Creditor Description of claim Amount of clai	m
	\$
	\$
	\$

In re:	BK No
Total amount of separately classified claims	
payable at%: \$	
V. <u>OTHER PROVISIONS</u> :	
A. Liquidation of Assets to be used to Fund Plan:	
B. Modification of Secured Claims:	
C. Additional Miscellaneous provisions:	

In re:	BK No
VI. CALCULATION OF PLAN PAYMENT	
a. Secured claims (Section I-A Total):	\$
b. Priority claims (Section II Total):	\$
c. Administrative claims (Section III A + B Total)	: \$
d. General unsecured claims (Section IV-C Total):	\$
e. Separately classified unsecured claims	
(Section IV-D Total):	\$
f. Total of (a) through (e) above:	\$
g. Divide (f) by .90 for total cost including Chapte amount to be paid into the Chapter 13 plan):	r 13 trustee's fee (this represents the total
<b>Total Cost of Plan:</b>	\$
h. Divide (g) Cost of Plan by Term of Plan:	months
<ul><li>i. Round up to nearest dollar:</li><li>Monthly Plan Payment:</li></ul>	\$ (enter this amount on page 1)
Pursuant to 11 U.S.C. § 1326(a)(1), unless the Coumaking the payments proposed by a plan within the	,
<u>LIQUIDATION ANALYSIS</u>	
I. Real Estate:	
Address Fair Market Value Recorded Liens (Sched	lule D)
\$\$\$	
\$\$	
\$\$_	

Total Net Equity for Real Less Exemptions (Schedu	Property:	\$ _	
Less Exemptions (Schedu			
	le C):	\$ _	
Available Chapter 7:		\$ _	
II. Automobile (Describe	year, make and	mode	el):
Value	\$ Lien \$	S	Exemption \$
Value	\$ Lien \$	S	Exemption \$
Net Value of Equity:		\$ _	
Less Exemptions (Schedu	le C):	\$ _	
Available Chapter 7:		\$_	
III. All Other Assets (all a (Itemize as necessary)  Value: \$ Less E	Ü		
	xempuons (Sch		C): \$
Available Chapter 7:		Φ_	
•			Chapter 7) calculated based upon s any claimed exemptions:
TOTAL AMOUNT AVA	AILABLE UND	DER C	CHAPTER 7: \$
Additional Comments reg	arding Liquidat	ion Ar	nalysis:

In re:	BK No
* * * * * * * * * * * * * * * * * * * *	ebtor or his/her counsel is required to serve a copy of Crustee, all creditors and interested parties, and to file a
Debtor's counsel	Date
Address:	
Telephone #:	
I/We declare under the penalties of perjury correct to the best of our knowledge and be	that the foregoing representations of fact are true and elief.
Debtor	Date
Co-Debtor	Date

UNITED STATES BANKRUPTCY COURT FOR THE DISTRICT OF RHODE ISLAND		
In re:	:	
Debtor(s)	: BK No. Chapter 13	
ORDER CONFIRM	IING CHAPTER 13 PL	AN
The Debtor(s) filed a Chapter 13 Plan	ı (The "Plan") on	The Debtor(s)
filed a Certificate of Service on	, reflecting tha	t the Plan was served on all
creditors and parties-in-interest. No objection	ons to the confirmation of	of the plan were filed, or all
objections were overruled by the Court or r	resolved by the parties.	Upon consideration of the
foregoing, the Court hereby orders the follow	ving:	
1. The Plan is confirmed. The term of the Pl	lan is mon	iths.
2. The employer of the Debtor, cases, the Debtor) forward to the Office of the Standing Chapter 02906, the sum of \$ per	13 Trustee, P.O. Box 256	1, Providence, Rhode Island
3. The effective date of confirmation of the I	Plan is	
4. The disbursements to be made by the Chap forth on the attached summary which is incor		
5. Unless otherwise ordered by the Court, all and 1306, including, but not limited to any ap		

Debtor(s) as of the commencement of the case, shall remain property of the estate during the term of the Plan and shall vest in the Debtor(s) only upon closing of the case. All property of the estate

shall remain within the exclusive jurisdiction of the Bankruptcy Court.

n re: BK No	
6. The Debtor(s) shall not transfer, sell, encumber, of than in accordance with the confirmed Plan or other shall be responsible for preserving and protecting a	er order of the Bankruptcy Court. The Debtor
7. The Court may, from time to time during the per of the payments provided by the Plan, where it shall t as the Court may designate, that the circumstances	be made to appear at a hearing upon such notice
8. The Debtor shall inform the Trustee of any incre	ease he/she receives in salary or in income.
9. The Trustee shall pay the remaining balance due or less.	to any creditor when that balance due is \$25.00
10. Under 11 U.S.C. § 1325(a)(8) and § 1328(a), if whether owed at the time of filing or incurred dur debtor must file a certification with the Chapter 13 T the plan have been paid before a discharge order material.	ing the pendency of the bankruptcy case, the rustee stating that all such payments due under
11. Upon completion of the plan, discharge shall ent determines that the debtor is not entitled to one purs is otherwise not entitled to one pursuant to 11 U.S.0	uant to 11 U.S.C. § 1328(h), or; (b) the Debtor
12. The plan meets all of the requirements set forth	in 11 U.S.C. § 1325(a).
ORDER:	ENTER:
Deputy Clerk	Arthur N. Votolato U.S. Bankruptcy Judge Date:
Entered on:	

In re:	BK No
	SUMMARY OF DISBURSEMENTS TO BE MADE UNDER THE PLAN
A. AD	OMINISTRATIVE CLAIMS
	(Creditor) will be paid the sum of \$
B. PR	RIORITY CLAIMS
	Priority claims for which claims are properly filed shall be paid according to their priority.
C. SE	CCURED CLAIMS
	1. MODIFIED SECURED CLAIMS
	The secured claim of <u>(Creditor)</u> is being modified as follows: <u>(describe modified treatment)</u> .
	The secured creditor is retaining its lien on <u>(describe the property)</u> to the following extent:
	The balance of the claim will be treated as an unsecured claim.
	2. <u>UNMODIFIED SECURED CLAIMS</u>
	(Creditor) will be paid its pre-petition arrearage in the sum of \$
D. UN	NSECURED CLAIMS
	All unsecured creditors shall receive not less than% of the amount of their claims duly proved and allowed by the Court.

E. OTHER PERTINENT PROVISIONS:

### UNITED STATES DISTRICT COURT FOR THE DISTRICT OF RHODE ISLAND

#### LR Gen 109 BANKRUPTCY

- (e) Appeals to Bankruptcy Appellate Panel. In accordance with 28 U.S.C. §158(b)(6), when all parties consent, appeals from any judgment, order or decree of a bankruptcy judge which are referred to in 28 U.S.C. § 158(a) may be heard and determined by the Bankruptcy Appellate Panel for the First Circuit.
- (f) Appeals to District Court. Except as otherwise provided in this subsection (f) or elsewhere in these rules, or unless otherwise ordered by the District Court, appeals or motions for leave to appeal to the District Court from any judgment, order or decree of a bankruptcy judge shall be governed by the applicable provisions of Rules 8001 8020 of the Federal Rules of Bankruptcy Procedure ("Bankruptcy Rules"), and any and all Interim Bankruptcy Rules ("Interim Rules") which became effective on or after October 17, 2005.
  - (1) Notice of Appeal. When a notice of appeal is filed with the bankruptcy clerk, the bankruptcy clerk shall, forthwith, transmit a copy of the notice of appeal to the District Court clerk, together with a copy of the judgment, order or decree that is the subject of the appeal and the Appeal Cover Sheet. The District Court clerk, thereupon, shall treat the matter administratively as a newly filed case, but in accordance with Interim Rule 8001(f)(2), the matter shall not be deemed "pending" in this Court until the record has been transmitted and docketed.
  - (2) Motion for Leave to Appeal. When a motion for leave to appeal is filed with the bankruptcy clerk, the bankruptcy clerk shall, forthwith, transmit a copy of the motion to the District Court clerk, together with copies of the notice of appeal, the judgment, order or decree that is the subject of the proposed appeal, and any memorandum of counsel submitted in support of or in opposition to the motion. The District Court clerk, thereupon, shall treat the matter administratively as a newly filed case, but in accordance with Interim Rule 8001(f)(2), the matter shall not be deemed "pending" in this Court until leave to appeal has been granted.
  - (3) Requests for Certification. Any request by a party for the certification of an appeal directly to the Court of Appeals filed in the District Court pursuant to 28 U.S.C. 158(d)(2) and Interim Rule 8001(f) shall be in the form of a motion complying with LR Cv 7.
  - (4) Extensions of Time by a Bankruptcy Judge. Extensions of time for filing notices of appeal may be granted by the bankruptcy judge in accordance with Bankruptcy Rule 8002(c). Extensions of time for filing motions for leave to appeal and designations of the record or issues on appeal may be granted by the

bankruptcy judge for a period not to exceed thirty (30) days.

- (5) **Dismissal of Appeals by Bankruptcy Judge.** A bankruptcy judge may dismiss an appeal if
  - (A) the notice of appeal is not filed within the time specified in Bankruptcy Rule 8002;
  - (B) the appellant has failed to file a designation of the record or a statement of the issues within the time specified in Rule 8006 or any extension thereof; or
  - (C) the appellant has failed to comply with paragraph (6)(C) of this subsection.
- (6) **Record on Appeal.** In addition to any other applicable requirements, an appellant, including a party whose motion for leave to appeal has been granted, shall ensure that the record transmitted by the bankruptcy clerk to the District Court clerk includes:
  - (A) the judgment, order or decree of the bankruptcy judge that is the subject of the appeal;
  - (B) any written decision(s) and a transcript of any oral decision(s) by the bankruptcy judge stating the reasons for the judgment(s), order(s) and/or decree(s) referred to in subparagraph (A);
  - (C) the record on appeal, as to which the appellant shall be responsible for seeing that each document is tabbed and arranged in reverse chronological order so that the documents appear in the same order as shown on the docket sheet;
  - (D) a statement of the issues on appeal; and,
  - (E) a certified copy of the docket sheet.
- (7) Form of and Schedule for Filing Briefs. Unless otherwise ordered by the District Court or provided in these rules, the form and schedule for filing appellate briefs and memoranda shall be governed by Bankruptcy Rule 8009, except that:
  - (A) all briefs, memoranda and appendices thereto shall conform to the applicable requirements of LR Cv 7; and
  - (B) two (2) copies of any brief or memorandum shall be provided to the district judge to whom the appeal or motion for leave to appeal is assigned.

- **Stays Pending Appeal to the District Court.** When a motion is made in the District Court to stay a judgment, order or decree of a bankruptcy judge or for any other relief pending appeal, the movant shall file the following with its motion:
  - (1) a copy of the judgment, order or decree that the movant seeks to have stayed;
  - (2) a copy of the bankruptcy judge's order denying the movant's motion to stay;
  - (3) any written decision(s) and/or transcript(s) of any oral decision(s) of the bankruptcy judge stating the reasons for the orders referred to in paragraphs (1) and (2) of this subsection; and
  - (4) a memorandum of law setting forth the reasons why a stay should be granted and the legal authorities supporting the motion for a stay.

Such motion and any related objection(s) and replies shall be governed by the applicable provisions of LR Cv 7.

## UNITED STATES BANKRUPTCY COURT DISTRICT OF RHODE ISLAND

# DISTRICT OF RHODE ISLAND MAXIMUM ATTORNEY FEE WITHOUT WRITTEN FEE APPLICATION

- (a) Pursuant to R.I. LBR 2017-1, a detailed application for compensation is required within twenty (20) days of the bankruptcy filing whenever the fee for services provided by:
  - (1) an attorney for a Chapter 7 debtor exceeds:
    - (i) \$1,500, where Debtor's median income is equal to or below the state median income for their household size, and
    - (ii) \$2,500, where the Debtor's median income is higher than the state median income for their household size
  - (2) an attorney for a Chapter 13 debtor exceeds: \$3,500
  - (3) a document preparer exceeds: \$150
- **(b)** The amounts set forth herein are for the sole purpose of establishing when an applicant is required to file a fee application with the Court. These amounts should not be construed as minimum fees for specific services. The Court may require applicants to file fee applications even when the fee charged is below or equal to the minimum amounts set forth herein, and all fees whether above or below the amounts set forth herein are subject to Court approval.
- (c) Pursuant to R.I. LBR 2016-1, counsel and document preparers are advised to keep contemporaneous time records to support all work performed on behalf of the debtor(s) and to file said fee applications within the time allowed above. Failure to comply with R.I. LBR 2017-1 will result in the issuance of an Order to Show Cause why disgorgement of all fees should not be made, or other sanctions imposed.

### UNITED STATES DISTRICT COURT DISTRICT OF RHODE ISLAND

### U.S. DISTRICT COURT LOCAL RULES -- GENERAL / ATTORNEY RULES

### LR Gen 204 PRO HAC VICE COUNSEL

- (a) Authorization to Appear and Practice. An attorney who is not a member of the bar of this Court may appear and practice before this Court in any case in which the attorney has been admitted to practice *pro hac vice*.
- **Eligibility for** *Pro Hac Vice* **Admission**. In order to be eligible for *pro hac vice* admission, an applicant must:
  - (1) Be a member in good standing of the bar of another state and another federal district court and the bar in every jurisdiction in which the attorney has been admitted to practice; and
  - (2) Not have been convicted of a "serious crime" as defined in Rule 213(a)(3); and
  - (3) Establish, to the satisfaction of this Court, that he or she is otherwise qualified and fit to be admitted to practice *pro hac vice* before this Court.
  - (c) Limit on Number. Unless otherwise permitted by the Court for good cause shown, no more than three (3) *pro hac vice* counsel may be admitted to represent any party in a case.
- (d) Application. An application for *pro hac vice* admission shall be made by completing and filing a form of motion provided by the Clerk (see **Form 1** annexed to these Rules), together with a check for the application fee fixed by the Court which shall be payable to the "Board of Bar Examiners." The application fee will not be refunded if the application is denied.

A motion for *pro hac vice* admission shall be signed both by the applicant and by local counsel affiliated with the applicant.

(e) Local Counsel. In order to be admitted and/or remain as *pro hac vice* counsel, an attorney shall be affiliated with local counsel who is a member of the Bar of this Court and who has entered an appearance as co-counsel.

### Local counsel shall:

- (1) Sign and be responsible to the Court for the content of all pleadings, motions, and other documents filed or served in the case; and
- (2) Attend all court proceedings in the case unless excused by the judge for good cause shown; and
- (3) Be fully prepared to assume sole responsibility for the conduct of the case in the event that *pro hac vice* counsel does not appear when required, has his or her *pro hac vice* status revoked or is unable to continue as counsel for any reason.

In order to ensure that local counsel is able to properly perform his or her duties, *pro hac vice* counsel shall consult with, involve and fully inform local counsel with respect to all matters affecting the case.

### (f) Admission and Revocation.

- (1) The district judge to whom a case has been assigned shall have discretion to grant or deny motions for admission *pro hac vice* based upon the applicant's qualifications, character, past conduct and any other factors that bear on the applicant's fitness to practice in this Court.
- (2) Permission to appear *pro hac vice* may be revoked upon motion of a party or, *sua sponte*, by the district judge to whom the case is assigned if the judge determines that *pro hac vice* counsel has failed to satisfy any applicable requirement of these rules or that the proper administration of justice so requires.
- (3) No formal hearing shall be required prior to revocation. However, before revoking *pro hac vice* status, the judge shall provide counsel with notice and an opportunity to explain why *pro hac vice* status should not be revoked to the

extent that such opportunity can be afforded without disrupting or delaying the proceedings.

(4) The revocation of *pro hac vice* status shall not prevent the Court from taking any other disciplinary action against counsel pursuant to any applicable provision of these Local Rules.

### (g) Notification.

- (1) *Pro hac vice* counsel shall promptly notify the Court of any change in counsel's name, address, telephone number, fax number, e-mail address and/or law firm name from that shown on counsel's application for *pro hac vice* admission.
- (2) Any notice sent to *pro hac vice* counsel shall be deemed delivered if sent to the most recent address or fax number or e-mail address provided in counsel's application for *pro hac vice* admission or in any subsequent change of address provided by such counsel.

### **CROSS-REFERENCE**

See LR Gen 201(b)(2) (appearance by *pro hac vice* counsel). See also LR Gen 206(c) (designation of counsel to receive notices).

### UNITED STATES DISTRICT COURT DISTRICT OF RHODE ISLAND

### U.S. DISTRICT COURT LOCAL RULES – RULES APPLICABLE TO CIVIL PROCEEDINGS

### LR Cv 67 PARTIES' FUNDS DEPOSITED WITH CLERK OF COURT

- (a) Procedure for Deposit of Funds.
  - (1) Any party who seeks to deposit funds into the Registry of the Court pursuant to Title 28 U.S.C. § 2041 or Fed. R. Civ. P. 67 or other rule or law must first file a motion in the form required by LR Cv 7. The motion must be accompanied by a proposed order specifying the amount of funds to be deposited, the name and address of a local financial institution into which the funds are to be deposited, and the type of account desired. The financial institution and the type of account must be approved in advance by the Clerk of Court.
  - (2) The motion and proposed order shall be served on all other parties of record in the case.
  - (3) Upon the granting of the motion, the party shall promptly deliver to the Clerk's Office a check for the amount to be deposited, together with a copy of the signed order.
- (b) Procedure for Withdrawals and Fund Transactions. Any party seeking to withdraw monies from the Registry of the Court must file and serve a motion for the withdrawal of monies from the Registry, together with a proposed order stating the exact amount to be disbursed to each party, and each party's name, address and tax identification number. All transactions regarding Registry funds shall be made only with the approval of the Court.
- (c) Deduction of Court Fees. Any order obtained by a party that directs the Clerk to invest in an interest-bearing account or investment funds deposited in the Registry of the Court shall contain wording which directs the Clerk to deduct from the income earned on the funds deposited or invested a fee in the amount of ten percent (10%) of the income earned, whenever such income becomes available for such deduction, and without further order of the Court. Such a provision shall be included in the order regardless of the nature of the case in which the deposit was made.

### **CROSS-REFERENCES**

See Local Rule 7 (Motions).

See also 28 U.S.C. §§2041-2043 (deposit and withdrawal of court registry funds).